

04/05/01  
JC915 U.S. PTO

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4-6-01

PTO/SB/50 (08-00)

A/RES

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to: <b>Commissioner for Patents Box Reissue Washington, DC 20231</b>	Attorney Docket No.	39262/256238
	First Named Inventor	J. Charles Taylor
	Original Patent Number	5,891,143
	Original Patent Issue Date (Month/Day/Year)	April 6, 1999
	Express Mail Label No.	EL572470492US

### APPLICATION FOR REISSUE OF:

(check applicable box)

☒ Utility Patent

☐ Design Patent

☐ Plant Patent

### APPLICATION ELEMENTS (37 CFR 1.173)

- ☒ \* Fee Transmittal Form (e.g., PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status See 37 CFR 1.27
- ☒ Specification and Claims in a double column copy of patent format (amended, if appropriate)
- ☐ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath / Declaration (original or copy)  
(37 C.F.R. § 1.175)(PTO/SB/51 or 52)
- Original U.S. Patent currently assigned?

☒ Yes ☐ No

(If Yes, check applicable box(es))

☒ Written Consent of all Assignees (PTO/SB/53)

☒ 37 C.F.R. § 3.73(b) Statement (PTO/SB/96) ☒ Power of Attorney

### ACCOMPANYING APPLICATION PARTS

- ☒ Statement of status/support for all changes to the claims See 37 CFR 1.173(c).
- ☒ Offer to Surrender original U.S. Patent  
☐ Ribbioned Original Patent Grant  
☐ Statement of Loss (PTO/SB/55)
- ☐ Foreign Priority Claim (35 U.S.C. 119)  
(if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration (if applicable)
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Other: \_\_\_\_\_

### 14. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label


(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

NAME (Print/Type)	Kristin D. Mallatt	Registration No (Attorney/Agent)	46,895
Signature	<i>Kristin Mallatt</i>	Date	4/5/01

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<b>REISSUE APPLICATION FEE TRANSMITTAL FORM</b>						Docket Number (Optional)		
<b>Claims as Filed - Part 1</b>								
Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A)	Total Claims (37 CFR 1.16(j))	(B)	****	X\$ _____		or	X\$ _____	
(C)	Independent Claims (37 CFR 1.16(i))	(D)	*	= _____			= _____	
				X\$ _____			X\$ _____	
				= _____			= _____	
Basic Fee (37 CFR 1.16(h))					OR		\$ _____	
Total Filing Fee					OR		\$ _____	
<b>Claims as Amended - Part 2</b>								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	*** 14	MINUS	**	*	X\$ _____		or	X\$ _____
				=	= _____			= _____
Independent Claims (37 CFR 1.16(i))	*** 1	MINUS	*****	=	X\$ _____			X\$ _____
				=	= _____			= _____
Total Additional Fee					\$	OR		\$710.00
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 27 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>11-0855</u>. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> A check in the amount of \$ <u>710.00</u> to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on form PTO-2038.</b></p>								
April 5, 2001		 Signature of Applicant, Attorney or Agent of Record						
Date		Kristin D. Mallatt, Reg. No. 46,895 _____ Typed or printed name						

**STATEMENT UNDER 37 CFR 3.73(b)**Patent Owner: J. Charles Taylor and Harold S. TaylorPatent No.: 5,891,143Issue Date: April 6, 1999Entitled: Orthopaedic Fixation PlateSmith & Nephew, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From John Charles Taylor and Harold S. Taylor To: Smith & Nephew, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 9595, Frame 0843, or for which a copy thereof is attached

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

4 APR 01

Date

Joel Petrow

Signature

Joel Petrow

Typed or printed name

Assistant Secretary

Title

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